

AFFINE FACTORABLE SURFACES IN ISOTROPIC SPACES

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ABSTRACT. In this paper, we study the problem of finding affine factorable surfaces in a 3-dimensional isotropic space \mathbb{I}^3 with prescribed Gaussian (K) or mean (H) curvatures. Because the absolute figure of \mathbb{I}^3 , by permutation of coordinates two different types of these surfaces appear. We firstly classify the affine factorable surfaces of type 1 with K, H constants. Afterwards, we provide the affine factorable surfaces of type 2 with $K = const.$ or $H = 0$. Besides in some particular cases, the affine factorable surfaces of type 2 with $H = const$ were obtained.

Keywords: Isotropic space, affine factorable surface, mean curvature, Gaussian curvature.

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1. INTRODUCTION

Let \mathbb{R}^3 be a 3-dimensional Euclidean space with usual coordinates (x, y, z) and

$$w : \mathbb{R}^2 \rightarrow \mathbb{R}, (x, y) \mapsto w(x, y)$$

a smooth real-valued function of 2 variables. Then, the graph $z = w(x, y)$ is a smooth surface with an atlas that only consists of the following patch

$$\mathbf{r} : \mathbb{R}^2 \rightarrow \mathbb{R}^3, (x, y) \mapsto (x, y, w(x, y)).$$

Notice that every surface in \mathbb{R}^3 is locally a part of the graph $z = w(x, y)$ if its normal is not parallel to the xy -plane. Otherwise, the regularity assures that it is a part of the graph $x = w(y, z)$ or $y = w(x, z)$. See [37, p. 119]. These graphs are also called *surfaces of Monge type* [17, p. 302].

In the differential geometry of surfaces, one of the challenging problems has been to obtain explicit equations of surfaces with prescribed Gaussian (K) or mean (H) curvatures. In this manner, it is naturally reasonable to concern the graphs. For a graph, a problem of this kind is indeed to solve an equation of *Monge-Ampère type* given by ([39, 42])

$$w_{xx}w_{yy} - w_{xy}^2 = K(x, y)W^2, \tag{1}$$

and an equation of *mean curvature type* ([27, 39])

$$(1 + w_x^2)w_{yy} - 2w_xw_yw_{xy} + (1 + w_y^2)w_{xx} = 2H(x, y)W^{\frac{3}{2}}, \tag{2}$$

where $w_x = \frac{\partial w}{\partial x}$, $w_{xx} = \frac{\partial^2 w}{\partial x^2}$, etc. and $W = 1 + w_x^2 + w_y^2$.

The equations (1) and (2) also arise in economics, meteorology, oceanography etc. [7, 8, 9, 11].

In a 3-dimensional isotropic space \mathbb{I}^3 , by separation of variables we study the graphs

$$z = w(x, y) = f_1(x)f_2(y),$$

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so-called *factorable* or *homothetical surfaces*. Here f_1 and f_2 are smooth functions of a single variable. Many results on the factorable surfaces in other 3-dimensional spaces were obtained so far, see [1-4, 18, 20, 25, 28, 43, 48].

This kind of surfaces also appears as invariant surfaces in the 3-dimensional space $\mathbb{H}^2 \times \mathbb{R}$ which is one of the eight homogeneous geometries of Thurston. More clearly, a certain type of translation surfaces in $\mathbb{H}^2 \times \mathbb{R}$ is the graph of $z = f_1(x) f_2(y)$, see [45, p. 1547]. For further details, we refer to [5, 6, 19, 22-27, 32, 41, 46, 47].

Recently, Zong et al. [49] defined *affine factorable surfaces* in \mathbb{R}^3 as the graphs

$$z = f_1(x) f_2(y + ax), \quad a \in \mathbb{R}, \quad a \neq 0.$$

They obtained these surfaces with $K = 0$ and $H = \text{const}$. It is clear that this class of surfaces is more general than the factorable surfaces.

In this paper, the problem of determining affine factorable surfaces in \mathbb{I}^3 with K or H constant is considered. Because the absolute figure of \mathbb{I}^3 (for details see Preliminaries section), by permutation of the coordinates two different types of these surfaces exist, i.e. the graphs of

$$z = f_1(x) f_2(y + ax) \quad \text{and} \quad x = f_1(y + az) f_2(z).$$

We call the surfaces *affine factorable surfaces of type 1* and *2*, respectively. Point out also that such surfaces reduce to the factorable surfaces in \mathbb{I}^3 when $a = 0$.

In this sense, our first concern is to obtain affine factorable surfaces of type 1 with K or H constant. And then, we present some results relating to the affine factorable surfaces of type 2 with $K = \text{const}$. or $H = 0$. Furthermore, in some particular cases, the affine factorable surfaces of type 2 with $H = \text{const}$. were found.

2. PRELIMINARIES

In this section, we provide some fundamental properties of isotropic geometry from [10, 12-16, 29-33, 35, 36, 38, 49]. For basics of Cayley-Klein geometries see also [21, 34, 44].

Let $(x_0 : x_1 : x_2 : x_3)$ denote the homogenous coordinates in a 3-dimensional real projective space $P(\mathbb{R}^3)$. A *3-dimensional isotropic space* \mathbb{I}^3 is a Cayley-Klein space defined in $P(\mathbb{R}^3)$ with the absolute figure $\{\omega, l_1, l_2\}$, where ω is an *absolute plane* and l_1, l_2 two *absolute lines* in ω . These are respectively parameterized by $x_0 = 0$ and $x_0 = x_1 \pm ix_2 = 0$. The intersection point of these complex-conjugate lines is called *absolute point*, $(0 : 0 : 0 : 1)$.

The group of motions of \mathbb{I}^3 , which leave the absolute figure invariant, is given by the 6-parameter group

$$(x, y, z) \mapsto (\tilde{x}, \tilde{y}, \tilde{z}) : \begin{cases} \tilde{x} = \theta_1 + x \cos \theta - y \sin \theta, \\ \tilde{y} = \theta_2 + x \sin \theta + y \cos \theta, \\ \tilde{z} = \theta_3 + \theta_4 x + \theta_5 y + z, \end{cases} \quad (3)$$

where (x, y, z) denote the affine coordinates and $\theta, \theta_1, \dots, \theta_5 \in \mathbb{R}$. The *isotropic metric* induced by the absolute figure is given by $ds^2 = dx^2 + dy^2$.

Due to the absolute figure there are two types of lines and planes: the *isotropic lines and planes* which are parallel to z - axis and others called *non-isotropic lines and planes*. As an example the equation $ax + by + cz = d$ determines a non-isotropic (isotropic) plane if $c \neq 0$ ($c = 0$), $a, b, c, d \in \mathbb{R}$.

Note that the plane $z = 0$, so-called *basic* (or *top-view*) *plane*, is non-isotropic (or Euclidean) and therefore the $2d$ Euclidean metric is used in it.

Two non-isotropic lines are orthogonal if their projections onto the top-view plane are perpendicular up to the Euclidean metric. Nevertheless, an isotropic line is orthogonal to some

non-isotropic line. As a consequence, each non-isotropic plane is orthogonal to the isotropic one. Besides, two isotropic planes are orthogonal if their projections onto the top-view plane are perpendicular.

A surface is said to be *admissible* if nowhere it has isotropic tangent planes. If some admissible surface is locally parameterized by

$$\mathbf{r}(u, v) = (x(u, v), y(u, v), z(u, v)),$$

then the Jacobian determinant satisfies

$$\frac{\partial(x, y)}{\partial(u, v)} = x_u y_v - x_v y_u \neq 0,$$

where $x_u = \frac{\partial x}{\partial u}$, etc.

We may introduce an isotropic scalar product between two vectors $\mathbf{u} = (u_1, u_2, u_3)$ and $\mathbf{v} = (v_1, v_2, v_3)$ as

$$\langle \mathbf{u}, \mathbf{v} \rangle_i = \tilde{\mathbf{u}} \cdot \tilde{\mathbf{v}} = u_1 v_1 + u_2 v_2,$$

where $\tilde{\mathbf{u}}$ denotes the top view of \mathbf{u} and \cdot the Euclidean scalar product in \mathbb{R}^2 .

Denote g and h the first and second fundamental forms, respectively. Then the components of g are calculated by the induced metric from \mathbb{I}^3 , namely

$$g_{11} = \langle \mathbf{r}_u, \mathbf{r}_u \rangle_i, \quad g_{12} = \langle \mathbf{r}_u, \mathbf{r}_v \rangle_i, \quad g_{22} = \langle \mathbf{r}_v, \mathbf{r}_v \rangle_i, \quad \mathbf{r}_u = \frac{\partial \mathbf{r}}{\partial u}.$$

The unit normal vector is $(0, 0, 1)$ because it is orthogonal to all non-isotropic vectors. The components of h are given by

$$h_{11} = \frac{\det(\mathbf{r}_{uu}, \mathbf{r}_u, \mathbf{r}_v)}{\sqrt{\det g}}, \quad h_{12} = \frac{\det(\mathbf{r}_{uv}, \mathbf{r}_u, \mathbf{r}_v)}{\sqrt{\det g}}, \quad h_{22} = \frac{\det(\mathbf{r}_{vv}, \mathbf{r}_u, \mathbf{r}_v)}{\sqrt{\det g}},$$

where $\mathbf{r}_{uu} = \frac{\partial^2 \mathbf{r}}{\partial u \partial u}$, etc. Therefore, the *isotropic Gaussian* (or *relative*) and *mean curvatures* are respectively defined by

$$K = \frac{\det h}{\det g}, \quad H = \frac{g_{11} h_{22} - 2g_{12} h_{12} + g_{22} h_{11}}{2 \det g}.$$

For convenience, we call these *Gaussian* and *mean curvatures*.

By a *flat (minimal) surface* we mean a surface with vanishing Gaussian (mean) curvature.

Notice that h_{ij} 's are proportional to the corresponding Euclidean coefficient of the surface; namely, it is possible to define *elliptic*, *hyperbolic* and *parabolic points*. So, we can interpret the sign of K in the same way we do in Euclidean geometry.

In the particular case that the surface is the graph $z = w(x, y)$, the Gaussian and mean curvatures turn to

$$K = w_{xx} w_{yy} - w_{xy}^2, \quad H = \frac{w_{xx} + w_{yy}}{2}. \quad (4)$$

Accordingly; if it is the graph $x = w(y, z)$, then these curvatures are formulated by

$$K = \frac{w_{yy} w_{zz} - w_{yz}^2}{w_z^4}, \quad H = \frac{w_z^2 w_{yy} - 2w_y w_z w_{yz} + (1 + w_y^2) w_{zz}}{2w_z^3}, \quad (5)$$

where $w_z \neq 0$ because of the admissibility.

3. AFFINE FACTORABLE SURFACES OF TYPE 1

An *affine factorable surface of type 1* in \mathbb{I}^3 is a graph

$$z = w(x, y) = f_1(x) f_2(y + ax), \quad a \neq 0,$$

for smooth functions f_1 and f_2 . Let us put $u_1 = x$ and $u_2 = y + ax$. By (4), we get the Gaussian curvature as

$$K = f_1 f_2 f_1'' f_2'' - (f_1' f_2')^2, \quad (6)$$

where $f_1' = \frac{df_1}{du_1}$ and $f_2' = \frac{df_2}{du_2}$ and so on.

Theorem 3.1. *Let an affine factorable surface of type 1 in \mathbb{I}^3 have constant Gaussian curvature K_0 . Then, for $b, c_0, c_1, c_2 \in \mathbb{R}$, we have*

- (1) if $K_0 = 0$, then
 - (a) $w(x, y) = c_0 f_2(y + ax)$ or $w(x, y) = c_0 f_1(x)$;
 - (b) $w(x, y) = c_0 e^{c_1 x + c_2(y + ax)}$;
 - (c) $w(x, y) = c_0 \left[\frac{(y + ax + c_1)^b}{x + c_2} \right]^{\frac{1}{b-1}}$, $b \neq 1$;
 - (d) $w(x, y) = c_0 \left[\frac{(x + c_1)^b}{y + ax + c_2} \right]^{\frac{1}{b-1}}$, $b \neq 1$.
- (2) Otherwise, i.e. $K_0 \neq 0$ then K_0 is negative and
 - (a) $w(x, y) = c_0 (\sqrt{-K_0} x + c_1) (y + ax + c_2)$.
 - (b) $w(x, y) = c_0 (x + c_1) [\sqrt{-K_0} (y + ax) + c_2]$.

Proof. We have two cases:

- (1) $K_0 = 0$. (6) reduces to

$$f_1 f_2 f_1'' f_2'' - (f_1' f_2')^2 = 0. \quad (7)$$

(7) holds when f_1 or f_2 is a constant. This proves the item (1.a) of the theorem. If $f_1' f_2' \neq 0$, then (7) implies $f_1'' f_2'' \neq 0$. Thereby, (7) can be rewritten by dividing $f_2 f_2'' (f_1')^2$ as

$$\frac{f_1 f_1''}{(f_1')^2} = \frac{(f_2')^2}{f_2 f_2''},$$

where the left-hand side is a function of u_1 whereas the right-hand side is a function of u_2 . This is possible in case both sides are only constant, i.e.

$$\frac{f_1 f_1''}{(f_1')^2} = b = \frac{(f_2')^2}{f_2 f_2''}, \quad (8)$$

where $b \in \mathbb{R}$, $b \neq 0$. If $b = 1$, after solving (8), we obtain

$$f_1(u_1) = c_0 \exp(c_1 u_1), \quad f_2(u_2) = c_2 \exp(c_3 u_2), \quad c_0, \dots, c_3 \in \mathbb{R},$$

which proves the item (1.b) of the theorem. Otherwise, i.e. $b \neq 1$, by solving (8), we derive

$$f_1(u_1) = [(1 - b)(c_4 u_1 + c_5)]^{\frac{1}{1-b}}, \quad f_2(u_2) = \left[\left(\frac{b}{b-1} \right) (c_6 u_2 + c_7) \right]^{\frac{b}{b-1}},$$

for $c_4, \dots, c_7 \in \mathbb{R}$. This is the proof of the item (1.c) of the theorem. The item (1.d) of the theorem can be proved in a similar way by taking $\frac{1}{b}$ instead of b .

(2) $K_0 \neq 0$. (6) can be rewritten as

$$K_0 = f_1 f_2 f_1'' f_2'' - (f_1' f_2')^2. \quad (9)$$

If f_1 or f_2 is constant in (9) then $K_0 = 0$. Thus we may assume $f_1' f_2' \neq 0$. To solve (9) we have two sub-cases:

(i) f_1 or f_2 is linear function. Without loss of generality we may assume $f_1 = c_0 u_1 + c_1$, $c_0, c_1 \in \mathbb{R}$, $c_0 \neq 0$. By (9), we get $K_0 = -c_0^2 (f_2')^2$ or

$$f_2(u_2) = \sqrt{\frac{-K_0}{c_0^2}} u_2 + c_2, \quad c_2 \in \mathbb{R},$$

which proves the item (2.b) of the theorem. In a similar way the proof of the item (2.a) of the theorem can be done.

(ii) Neither f_1 nor f_2 are a linear function. After dividing (9) with $f_1 f_1'' (f_2')^2$, we can write

$$\frac{K_0}{f_1 f_1''} \left(\frac{1}{f_2'} \right)^2 = \frac{-(f_1')^2}{f_1 f_1''} + \frac{f_2 f_2''}{(f_2')^2}. \quad (10)$$

By taking partial derivative of (10) with respect to u_2 we derive

$$\frac{K_0}{f_1 f_1''} = - \left[\frac{f_2 f_2''}{(f_2')^2} \right]' \left[\frac{(f_2')^3}{2 f_2''} \right],$$

which means $f_1 f_1'' = c_3$, $c_3 \in \mathbb{R}$, $c_3 \neq 0$. Considering it into (10) gives

$$\frac{1}{c_3} (f_1')^2 = \frac{f_2 f_2''}{(f_2')^2} - \frac{K_0}{c_3} \left(\frac{1}{f_2'} \right)^2.$$

This yields $f_1' = \text{const.}$ and contradicts with $f_1' \neq 0$. □

From (4), the mean curvature follows

$$2H = (1 + a^2) f_1 f_2'' + 2a f_1' f_2' + f_1'' f_2. \quad (11)$$

Theorem 3.2. *Let an affine factorable surface of type 1 in \mathbb{I}^3 be minimal. Then, for $b, c_0, c_1, c_2 \in \mathbb{R}$, either*

(1) *it is a non-isotropic plane; or*

(2) $w(x, y) = c_0 e^{bx + \frac{-ba}{1+a^2}(y+ax)} \left[c_1 \sin \left(\frac{b}{1+a^2} (y+ax) \right) + c_2 \cos \left(\frac{b}{1+a^2} (y+ax) \right) \right]$; or

(3) $w(x, y) = c_0 e^{by} [c_1 \sin(bx) + c_2 \cos(bx)]$.

Proof. (11) reduces to

$$(1 + a^2) f_1 f_2'' + 2a f_1' f_2' + f_1'' f_2 = 0. \quad (12)$$

If f_1 or f_2 is a constant function in (12), we have immediately the first item of the theorem. Suppose then that $f_1' f_2' \neq 0$ in (12). If $f_1 = c_0 u_1 + c_1$, $c_0, c_1 \in \mathbb{R}$, $c_0 \neq 0$, (12) gives the following polynomial equation in u_1

$$\left[(1 + a^2) f_2'' \right] c_1 + 2ac_0 f_2' + c_0 \left[(1 + a^2) f_2'' \right] u_1 = 0,$$

which yields $f_2' = f_2'' = 0$. This is not our case and we deduce $f_1' \neq 0$. In a similar way $f_2'' \neq 0$ can be shown. Next we divide (12) by $f_1' f_2'$

$$-2a = (1 + a^2) \left(\frac{f_1}{f_1'} \right) \left(\frac{f_2''}{f_2'} \right) + \left(\frac{f_1''}{f_1'} \right) \left(\frac{f_2}{f_2'} \right). \quad (13)$$

The partial derivative of (13) with respect to u_1 gives

$$(1 + a^2) \left(\frac{f_1}{f_1'} \right)' f_2'' + \left(\frac{f_1''}{f_1'} \right)' f_2 = 0. \quad (14)$$

We have three cases to solve (14):

- (1) $f_1' = bf_1$, $b \in \mathbb{R}$, $b \neq 0$. That is a solution for (14) and thus (13) reduces to

$$\frac{1 + a^2}{b} f_2'' + 2af_2' + bf_2 = 0, \quad (15)$$

which is a homogenous linear second-order ODE with constant coefficients. The characteristic equation of (15) has complex roots $\frac{-b}{1+a^2} (a \pm i)$, so its solution turns to

$$f_2(u_2) = e^{\left(\frac{-ba}{1+a^2}\right)u_2} \left[c_1 \cos\left(\frac{b}{1+a^2}u_2\right) + c_2 \sin\left(\frac{b}{1+a^2}u_2\right) \right]. \quad (16)$$

Considering (16) with the assumption of Case 1 gives the proof of the second item of the theorem.

- (2) $f_2' = bf_2$, $b \in \mathbb{R}$, $b \neq 0$. After taking partial derivative of (13) with respect to u_2 the proof of the last item of the theorem is same with previous case.
- (3) $(f_1/f_1')(f_2/f_2)' \neq 0$. Hence, (14) yields that f_2 and f_2'' can not be linearly independent, i.e. $f_2'' = c_0 f_2$, $c_0 \in \mathbb{R}$, $c_0 \neq 0$. Substituting this into (13) gives

$$-2a \frac{f_2''}{f_2} = c_0 (1 + a^2) \frac{f_1}{f_1'} + \frac{f_1''}{f_1'}. \quad (17)$$

Because $(f_2/f_2)'' \neq 0$ the left-hand side of (17) is a function of u_2 whereas the right-hand side is a function of u_1 or a constant. This is a contradiction. □

Theorem 3.3. *Let an affine factorable surface of type 1 in \mathbb{I}^3 have nonzero constant mean curvature H_0 . Then, for $c_0, c_1, c_2 \in \mathbb{R}$, we have either*

- (i) $w(x, y) = \frac{H_0}{1+a^2} (y + ax)^2 + y + ax + c_0$ or
(ii) $w(x, y) = (c_0x + c_1) \left[\frac{H_0}{ac_0} (y + ax) + c_2 \right]$.

Proof. (11) can be rewritten as

$$2H_0 = (1 + a^2) f_1 f_2'' + 2af_1' f_2' + f_1'' f_2. \quad (18)$$

In order to solve (18) we have two cases:

- (1) Case $f_1'' = 0$. If $f_1' = 0$, then (18) proves the item (i) of the theorem. If $f_1 = c_0 u_1 + c_1$, $c_0, c_1 \in \mathbb{R}$, $c_0 \neq 0$, then by (18) we get a polynomial equation in f_1

$$-2H_0 + 2ac_0 f_2' + [(1 + a^2) f_2''] f_1 = 0,$$

which implies that $f_2'' = 0$ and

$$f_2' = \frac{H_0}{ac_0}.$$

This proves the item (ii) of the theorem.

- (2) Case $f_1'' \neq 0$. By assuming $f_2'' = 0$ and applying above process we achieve the contradiction $f_1'' = 0$. Then $f_1'' f_2'' \neq 0$ and (18) can be rearranged as

$$2H_0 = (1 + a^2) f_1 p_2 \dot{p}_2 + 2ap_1 p_2 + f_2 p_1 \dot{p}_1, \quad (19)$$

where $p_i = \frac{df_i}{du_i}$ and $\dot{p}_i = \frac{dp_i}{df_i} = \frac{f_i''}{f_i'}$, $i = 1, 2$. Thus (19) can be divided by $f_2 p_1$ as

$$\frac{2H_0}{f_2 p_1} = (1 + a^2) \left(\frac{f_1}{p_1} \right) \left(\frac{p_2 \dot{p}_2}{f_2} \right) + 2a \frac{p_2}{f_2} + \dot{p}_1. \quad (20)$$

The partial derivative of (20) with respect to f_1 leads to

$$\frac{d}{df_1} \left(\frac{1}{p_1} \right) \left(\frac{2H_0}{f_2} \right) = (1 + a^2) \frac{d}{df_1} \left(\frac{f_1}{p_1} \right) \left(\frac{p_2 \dot{p}_2}{f_2} \right) + \ddot{p}_1, \quad (21)$$

where $\ddot{p}_1 = \frac{d^2 p_1}{df_1^2}$. If $p_1 = c_3 f_1$, $c_3 \in \mathbb{R}$, $c_3 \neq 0$, then the right-hand side of (21) becomes zero, which is not possible. Thereby, (21) can be rewritten by dividing $\frac{d}{df_1} \left(\frac{f_1}{p_1} \right)$ as

$$\underbrace{\frac{\frac{d}{df_1} \left(\frac{1}{p_1} \right)}{\frac{d}{df_1} \left(\frac{f_1}{p_1} \right)}}_{A(f_1)} \left(\frac{2H_0}{f_2} \right) = \underbrace{\frac{\ddot{p}_1}{\frac{d}{df_1} \left(\frac{f_1}{p_1} \right)}}_{B(f_1)} + \frac{(1 + a^2) p_2 \dot{p}_2}{f_2}, \quad (22)$$

where $A(f_1)$ and $B(f_1)$ are a function of f_1 . After taking partial derivatives of (22) with respect to f_1 and f_2 we can deduce A is a constant $A_0 \neq 0$ because $\frac{d}{df_2} \left(\frac{2H_0}{f_2} \right) \neq 0$. This follows from (22) that B is also a constant B_0 . Therefore we write

$$\frac{d}{df_1} \left(\frac{1}{p_1} \right) = A_0 \frac{d}{df_1} \left(\frac{f_1}{p_1} \right) \text{ and } \ddot{p}_1 = B_0 \frac{d}{df_1} \left(\frac{f_1}{p_1} \right). \quad (23)$$

An integration of first equation in (23) gives

$$\frac{1}{p_1} = A_0 \frac{f_1}{p_1} + c_4, \quad c_4 \in \mathbb{R}, \quad c_4 \neq 0, \text{ or } p_1 = \frac{1}{c_4} - \frac{A_0}{c_4} f_1. \quad (24)$$

It follows from (24) that $\ddot{p}_1 = B_0 = 0$ and thus (22) implies

$$(1 + a^2) p_2 \dot{p}_2 = 2A_0 H_0. \quad (25)$$

On the other hand, if we take partial derivative of (19) with respect to f_1 and consider (25) into it then we have

$$p_2 = \frac{A_0}{2ac_4} f_2 + \frac{c_4 H_0}{a}. \quad (26)$$

Comparing (25) and (26) gives a contradiction. □

4. AFFINE FACTORABLE SURFACES OF TYPE 2

An affine factorable surface of type 2 in \mathbb{I}^3 is a graph

$$z = w(x, y) = f_1(y + az) f_2(z), \quad a \neq 0,$$

for smooth functions f_1, f_2 . Put $u_1 = y + az$ and $u_2 = z$. From (5), the Gaussian curvature follows

$$K = \frac{f_1 f_2 f_1'' f_2'' - (f_1' f_2')^2}{(a f_1' f_2 + f_1 f_2')^4}, \quad (27)$$

where $f_1' = \frac{df_1}{du_1}$, $f_2' = \frac{df_2}{du_2}$. Notice that the admissibility refers to $a f_1' f_2 + f_1 f_2' \neq 0$.

Theorem 4.1. *Let an affine factorable surface of type 2 in \mathbb{I}^3 have constant Gaussian curvature K_0 . Then it is flat (i.e. $K_0 = 0$) and one of the following occurs:*

- a. $w(y, z) = c_0 f_1(y + az)$, $\frac{\partial f_1}{\partial z} \neq 0$ or $w(y, z) = c_0 f_2(z)$, $\frac{df_2}{dz} \neq 0$;

- b. $w(y, z) = c_0 e^{c_1(y+az)+c_2z}$;
- c. $w(x, y) = c_0 \left[\frac{(y+az+c_1)^b}{z+c_2} \right]^{\frac{1}{b-1}}$, $b \neq 1$;
- d. $w(x, y) = c_0 \left[\frac{(z+c_1)^b}{y+az+c_2} \right]^{\frac{1}{b-1}}$, $b \neq 1$, where $b, c_0, c_1, c_2 \in \mathbb{R}$.

Proof. If $K_0 = 0$ in (27), then the proofs of the items (a),..., (d) of the theorem are similar with the first four items of Theorem 3.1. The continuation of the proof is by contradiction. Suppose that $K_0 \neq 0$ and then (27) turns to

$$K_0 = \frac{f_1 f_2 f_1'' f_2'' - (f_1' f_2')^2}{(a f_1' f_2 + f_1 f_2')^4}, \tag{28}$$

where f_1, f_2 must be non-constants. Afterwards, we use the property that the roles of f_1, f_2 are symmetric in (28). If $f_1 = c_0 u_1 + c_1$, $c_0, c_1 \in \mathbb{R}$, $c_0 \neq 0$, then (28) turns to a polynomial equation in f_1

$$\xi_1(u_2) + \xi_2(u_2) f_1 + \xi_3(u_2) f_1^2 + \xi_4(u_2) f_1^3 + \xi_5(u_2) f_1^4 = 0,$$

where

$$\begin{aligned} \xi_1(u_2) &= K_0 a^4 c_0^4 f_2^4 + c_0^2 (f_2')^2, \\ \xi_2(u_2) &= 4K_0 a^3 c_0^3 f_2^3 f_2', \\ \xi_3(u_2) &= 6K_0 a^2 c_0^2 f_2^2 (f_2')^2, \\ \xi_4(u_2) &= 4K_0 a c_0 f_2 (f_2')^3, \\ \xi_5(u_2) &= K_0 (f_2')^4. \end{aligned}$$

The fact that each coefficient ξ_i , $i = 1, \dots, 5$, must vanish contradicts with $f_2 \neq const$. Thereby, we conclude $f_1'' \neq 0$ (and so $f_2'' \neq 0$ by symmetry). Next, put $\omega_1 = f_1 f_1''$, $\omega_2 = (f_1')^2$, $\omega_3 = f_1'$, $\omega_4 = f_1$ in (28). After taking partial derivative of (28) with respect to u_1 , it can be rewritten as

$$\mu_1 f_2^2 f_2'' + \mu_2 f_2 f_2' f_2'' - \mu_3 f_2 (f_2')^2 - \mu_4 (f_2')^3 = 0, \tag{29}$$

where

$$\begin{aligned} \mu_1 &= a(\omega_1' \omega_3 - 4\omega_1 \omega_3'), \\ \mu_2 &= \omega_1' \omega_4 - 4\omega_1 \omega_4', \\ \mu_3 &= a(\omega_2' \omega_3 + 4\omega_2 \omega_3'), \\ \mu_4 &= -\omega_2' \omega_4 + 4\omega_2 \omega_4', \end{aligned} \tag{30}$$

for $\omega_i' = \frac{d\omega_i}{du_1}$, $i = 1, \dots, 4$. Notice that ω_i and μ_i are function of the variable u_1 . By dividing (29) with $f_2^2 f_2'$, we deduce

$$\frac{f_2''}{f_2'} \left(\mu_1 + \mu_2 \frac{f_2'}{f_2} \right) = \left(\mu_3 \frac{f_2'}{f_2} + \mu_4 \left(\frac{f_2'}{f_2} \right)^2 \right). \tag{31}$$

For (31) we have to distinguish several cases:

- (1) $\frac{f_2'}{f_2} = c_2 \neq 0$, $c_2 \in \mathbb{R}$. Substituting it into (28) leads to the polynomial equation in f_2

$$c_2^2 \left(f_1 f_1'' - (f_1')^2 \right) - K_0 (a f_1' + c_2 f_1)^4 f_2^2 = 0,$$

where the coefficients must vanish, namely

$$a f_1' + c_2 f_1 = 0 \text{ and } f_1 f_1'' - (f_1')^2 = 0.$$

The first equality however contradicts with the admissibility, i.e. the assumption $a f_1' f_2 + f_1 f_2' \neq 0$.

- (2) $\mu_i = 0$, $i = 1, \dots, 4$. Because $\mu_3 = 0$, we conclude $6(f_1')^2 f_1'' = 0$, which is not our case.

(3) $\mu_1 + \mu_2 \frac{f'_2}{f_2} \neq 0$. (31) follows

$$\frac{f''_2}{f'_2} = \frac{\mu_3 \frac{f'_2}{f_2} + \mu_4 \left(\frac{f'_2}{f_2}\right)^2}{\mu_1 + \mu_2 \frac{f'_2}{f_2}}. \quad (32)$$

The partial derivative of (32) with respect to u_1 gives a polynomial equation in $\frac{f'_2}{f_2}$ and the fact that each coefficient must vanish yields the following system:

$$\begin{cases} \mu'_2 \mu_4 - \mu_2 \mu'_4 = 0, \\ \mu'_2 \mu_3 - \mu_2 \mu'_3 + \mu'_1 \mu_4 - \mu_1 \mu'_4 = 0, \\ \mu'_1 \mu_3 - \mu_1 \mu'_3 = 0. \end{cases} \quad (33)$$

By (33), we deduce that $\mu_3 = c_3 \mu_1$, $\mu_4 = c_4 \mu_2$, $c_3, c_4 \in \mathbb{R}$, and

$$(c_4 - c_3) (\mu'_1 \mu_2 - \mu_1 \mu'_2) = 0. \quad (34)$$

We have to consider two sub-cases:

(i) $c_3 = c_4$. Put $c_3 = c_4 = c$ and thus c must be nonzero due to the assumption of Case 3. Then (31) leads to

$$\frac{f''_2}{f'_2} = c \frac{f'_2}{f_2},$$

which implies $f'_2 = c_5 f_2^c$, $c_5 \in \mathbb{R}$, $c_5 \neq 0$. Note that $c \neq 1$ due to Case 1. Hence, (28) turns to

$$\frac{K_0}{c_5^2 (c f_1 f'_1 - (f'_1)^2)} = \left(\frac{f_2^c}{(a f'_1 f_2 + c_5 f_1 f_2^c)^2} \right)^2. \quad (35)$$

The partial derivative of (35) with respect to f_2 concludes

$$a(c-2)f'_1 - cc_5 f_1 f_2^{c-1} = 0. \quad (36)$$

Again partial derivative of (36) with respect to f_2 leads to either $c = 0$ or $c = 1$ or $c_5 = 0$. However, none of these is possible.

(ii) $c_3 \neq c_4$. It follows from (34) that $\mu_1 = c_6 \mu_2$, $c_6 \in \mathbb{R}$. On the other hand, plugging $\omega_1 = \omega'_3 \omega_4$ and $\omega_3 = \omega'_4$ into the equation $\mu_3 - c_3 \mu_1 = 0$ yields

$$(6 - c_3) \omega_3^2 \omega'_3 - c_3 \omega_3 \omega_3'' \omega_4 + 4c_3 (\omega'_3)^2 \omega_4 = 0. \quad (37)$$

Dividing (37) by $\omega_3 \omega_4 \omega'_3$ gives

$$(6 - c_3) \frac{\omega'_4}{\omega_4} - c_3 \frac{\omega_3''}{\omega'_3} + 4c_3 \frac{\omega'_3}{\omega_3} = 0. \quad (38)$$

Integrating of (38) leads to

$$\omega'_3 = c_7 \omega_3^4 \omega_4^{\frac{6-c_3}{c_3}}, \quad c_7 \in \mathbb{R}, \quad c_7 \neq 0. \quad (39)$$

By producting (39) with ω_4 , we get

$$\omega_1 = c_7 \omega_3^4 \omega_4^{\frac{6}{c_3}}. \quad (40)$$

On the other hand, $\mu_1 - c_4 \mu_2 = 0$ implies

$$\frac{\omega'_1}{\omega_1} - 4 \frac{a \omega'_3 - c_4 \omega'_4}{a \omega_3 - c_4 \omega_4} = 0. \quad (41)$$

From integrating of (41), we derive

$$\omega_1 = c_8 (a\omega_3 - c_6\omega_4)^4, \quad c_8 \in \mathbb{R}, \quad c_8 \neq 0. \quad (42)$$

Comparing (40) and (42) leads to

$$c_7\omega_3^4\omega_4^{\frac{6}{c_3}} = c_8 (a\omega_3 - c_6\omega_4)^4. \quad (43)$$

Without of loss generality, we may assume that the terms are positive in (43). Then we can obtain ω_3 from (43) as follows:

$$\omega_3 = \frac{-c_6\omega_4}{\left(\frac{c_7}{c_8}\right)^{\frac{1}{4}} \omega_4^{\frac{3}{2c_3}} - a}. \quad (44)$$

Revisiting (39) and integrating it gives

$$\omega_3^2 = \frac{1}{c_9\omega_4^{\frac{6}{c_3}} + c_{10}}, \quad c_9, c_{10} \in \mathbb{R}, \quad c_9 \neq 0. \quad (45)$$

After equalizing (44) and (45), we obtain an equation of the form

$$c_6^2\omega_4^{\frac{6+2c_3}{c_3}} - \left(\frac{c_7}{c_8}\right)^{\frac{1}{2}} \omega_4^{\frac{3}{c_3}} + 2a \left(\frac{c_7}{c_8}\right)^{\frac{1}{4}} \omega_4^{\frac{3}{2c_3}} + c_{10}c_4^2\omega_4^2 - a^2 = 0.$$

This equation leads to a contradiction because $\omega_4 = f_1$ is an arbitrary non-constant function. □

By (5) the mean curvature is

$$2H = \frac{(f_1'f_2)^2 f_1f_2'' - 2(f_1'f_2')^2 f_1f_2 + (f_1f_2')^2 f_2f_1'' + f_1f_2'' + 2af_1'f_2' + a^2f_1''f_2}{(af_1'f_2 + f_1f_2')^3}. \quad (46)$$

Theorem 4.2. *There does not exist a minimal affine factorable surface of type 2 in \mathbb{I}^3 , except non-isotropic planes.*

Proof. The proof is by contradiction. (46) follows

$$(f_1'f_2)^2 f_1f_2'' - 2(f_1'f_2')^2 f_1f_2 + (f_1f_2')^2 f_2f_1'' + f_1f_2'' + 2af_1'f_2' + a^2f_1''f_2 = 0. \quad (47)$$

If f_1 or f_2 is a constant, then (47) deduces that the surface is a non-isotropic plane. Assume that f_1, f_2 are non-constant. If $f_1'' = 0$, then (47) gives a polynomial equation in f_1 :

$$2af_1'f_2' + \left[(f_1'f_2)^2 f_2'' - 2(f_1'f_2')^2 f_2 + f_2''\right] f_1 = 0,$$

which is no possible because $af_1'f_2' \neq 0$. Then we have $f_1'' \neq 0$ and so $f_2'' \neq 0$ by symmetry. Henceforth we deal with the case $f_1''f_2'' \neq 0$. Dividing (47) with $(f_1'f_2')^2 f_1f_2$ leads to

$$\begin{aligned} & \frac{f_2f_2''}{(f_2')^2} + \frac{f_1f_1''}{(f_1')^2} + \left(\frac{1}{(f_1')^2}\right) \left(\frac{f_2''}{f_2(f_2')^2}\right) + \\ & + 2a \left(\frac{1}{f_1f_1'}\right) \left(\frac{1}{f_2f_2'}\right) + a^2 \left(\frac{f_1''}{f_1(f_1')^2}\right) \left(\frac{1}{(f_2')^2}\right) = 2. \end{aligned} \quad (48)$$

The partial derivative of (48) with respect to u_1 and u_2 yields

$$\underbrace{\left(\frac{1}{(f_1')^2}\right)'}_{\omega_1} \underbrace{\left(\frac{f_2''}{f_2 (f_2')^2}\right)'}_{\omega_2} + 2a \underbrace{\left(\frac{1}{f_1 f_1'}\right)'}_{\omega_3} \underbrace{\left(\frac{1}{f_2 f_2'}\right)'}_{\omega_4} + a^2 \underbrace{\left(\frac{f_1''}{f_1 (f_1')^2}\right)'}_{\omega_5} \underbrace{\left(\frac{1}{(f_2')^2}\right)'}_{\omega_6} = 0, \quad (49)$$

where $\omega_1 \omega_6 \neq 0$ because $f_1'' f_2'' \neq 0$. For (49), we consider two cases:

- (1) Case $\omega_3 = 0$. It follows $f_1 f_1' = c_0$, $c_0 \in \mathbb{R}$, $c_0 \neq 0$. Then, we have $\omega_1 = \frac{2}{c_0}$, $\omega_5 = \frac{-1}{f_1^2}$ and hence (49) reduces to the following polynomial equation in f_1

$$-\frac{c_0}{2} a^2 \omega_6 + \omega_2 f_1^2 = 0$$

which is not possible because $\omega_6 \neq 0$.

- (2) Case $\omega_3 \neq 0$. After dividing (49) by $\omega_1 \omega_6$, we write

$$A(u_2) + 2aB(u_1)C(u_2) + a^2D(u_1) = 0, \quad (50)$$

where

$$A(u_2) = \frac{\omega_2}{\omega_6}, \quad B(u_1) = \frac{\omega_3}{\omega_1}, \quad C(u_2) = \frac{\omega_4}{\omega_6}, \quad D(u_1) = \frac{\omega_5}{\omega_1}.$$

Notice also that all A, B, C and D in (50) must be constant for every u_1, u_2 . Therefore, being B and D constants lead to respectively

$$f_1 = \frac{f_1'}{c_1 + c_2 (f_1')^2} \quad (51)$$

and

$$\frac{f_1''}{f_1'} = f_1 \left(\frac{c_3}{f_1'} + c_4 f_1' \right), \quad (52)$$

where $c_1, \dots, c_4 \in \mathbb{R}$, $c_1 \neq 0$ because $\omega_3 \neq 0$. Put $p_1 = \frac{df_1}{du_1}$ and $\dot{p}_1 = \frac{dp_1}{df_1} = \frac{f_1''}{f_1'}$ in (51) and (52). The derivative of (51) with respect to f_1 gives

$$\dot{p}_1 = \frac{(c_1 + c_2 p_1^2)^2}{c_1 - c_2 (p_1)^2}. \quad (53)$$

Nevertheless, plugging (51) into (52) leads to

$$\dot{p}_1 = \frac{c_3 + c_4 p_1^2}{c_1 + c_2 p_1^2}. \quad (54)$$

Equalizing (53) and (54) refers to the polynomial equation in p_1

$$\xi_1 + \xi_2 p_1^2 + \xi_3 p_1^4 + \xi_4 p_1^6 = 0,$$

in which the following coefficients

$$\begin{aligned} \xi_1 &= c_1^3 - c_1 c_3, \\ \xi_2 &= 3c_1^2 c_2 - c_1 c_4 + c_2 c_3, \\ \xi_3 &= 3c_1 c_2^2 + c_2 c_4, \\ \xi_4 &= c_2^3 \end{aligned}$$

must vanish. Being $\xi_2 = \xi_4 = 0$ implies $c_2 = c_4 = 0$ and thus from (51) and (53) we get $f_1'' = c_1 f_1' = c_1^2 f_1$. Considering these into (47) leads to the polynomial equation in f_1

$$c_1^2 \left[f_2^2 f_2'' - f_2 (f_2')^2 \right] f_1^3 + [f_2'' + 2ac_1 f_2' + a^2 c_1^2 f_2] f_1 = 0,$$

where the coefficients must vanish, namely

$$f_2^2 f_2'' - f_2 (f_2')^2 = 0, \quad (55)$$

and

$$f_2'' + 2ac_1 f_2' + a^2 c_1^2 f_2 = 0. \quad (56)$$

Integrating of (55) leads to $f_2' = c_5 f_2$ and thus $f_2'' = c_5^2 f_2$, $c_5 \in \mathbb{R}$, $c_5 \neq 0$. Substituting it into (56) gives $ac_1 + c_5 = 0$. This however contradicts with the admissibility, i.e. the assumption $a f_1' f_2 + f_1 f_2' = f_1 f_2 (ac_1 + c_5) \neq 0$. □

Theorem 4.3. *Let an affine factorable surface of type 2 in \mathbb{I}^3 have nonzero constant mean curvature H_0 . If f_2 is a linear function then, for $c_0, c_1, c_2 \in \mathbb{R}$, we have*

$$w(y, z) = \frac{\pm 1}{2H_0 c_0} \sqrt{c_1 - 4H_0 c_0^2 (y + az)} + c_2. \quad (57)$$

Proof. If $f_2 = c_0$, $c_0 \in \mathbb{R}$, then (46) follows

$$2H_0 c_0^2 = \frac{f_1''}{(f_1')^3}. \quad (58)$$

Solving (58) concludes

$$f_1(u_1) = \frac{\pm 1}{2H_0 c_0^2} \sqrt{-4H_0 c_0^2 u_1 + c_1 + c_2},$$

for $c_1, c_2 \in \mathbb{R}$. This provides (57). If $f_2' = c_4 \neq 0$, $c_4 \in \mathbb{R}$, then (46) reduces to

$$2H_0 (a f_1' f_2 + c_4 f_1)^3 = 2ac_4 f_1' + \left[-2(c_4 f_1')^2 f_1 + (c_4 f_1)^2 f_1'' + a^2 f_1'' \right] f_2,$$

which is a polynomial equation in f_2 . It is easy to see that the coefficient of the term of degree 3 is $2H_0 (f_1')^3$ which cannot vanish. This completes the proof. □

Now let us assume that f_1 and f_2 to be polynomials of degree m and n , respectively. So, we get

$$f_1(u_1) = \alpha_m u_1^m + \alpha_{m-1} u_1^{m-1} + \dots + \alpha_1 u_1 + \alpha_0, \quad f_2(u_2) = \beta_n u_2^n + \beta_{n-1} u_2^{n-1} + \dots + \beta_1 u_2 + \beta_0, \quad (59)$$

where $\alpha_m \beta_n \neq 0$. Note that if we take $m \leq 1$ or $n \leq 1$, we already derive a non-existence result because of the previous lemma. Therefore, it is assumed that $m \geq 2$ and $n \geq 2$. Next we have

Theorem 4.4. *Let an affine factorable surface of type 2 in \mathbb{I}^3 given by*

$$z = w(x, y) = f_1(y + az) f_2(z), \quad a \neq 0,$$

where f_1 and f_2 are polynomials of degrees greater than or equal to 2. Then it cannot have nonzero constant mean curvature H_0 .

Proof. (46) can be rewritten as

$$\begin{aligned} & (f_1' f_2)^2 f_1 f_2'' - 2(f_1' f_2')^2 f_1 f_2 + (f_1 f_2')^2 f_2 f_1'' + f_1 f_2'' + 2a f_1' f_2' + a^2 f_1'' f_2 - \\ & - 2H_0 a^3 (f_1' f_2)^3 - 6H_0 a^2 (f_1' f_2')^2 f_1 f_2' - 6H_0 a f_1' f_2 (f_1 f_2')^2 - 2H_0 (f_1 f_2')^3 = 0. \end{aligned} \quad (60)$$

Replacing (59) into (60), we derive a polynomial equation in u_1 and u_2 in which all coefficients vanish identically. The term of highest degree up to u_1 comes from f_1^3 and its coefficient is $2H_0 a^3 (f_2')^3$. This implies $f_2' = 0$ which contradicts with $n \geq 2$. □

5. SOME EXAMPLES

We provide and illustrate several examples for affine factorable surfaces of both types with K, H constants.

Example 5.1. *Let us consider the following surfaces:*

- (1) *a minimal affine factorable surface of type 1, which is the graph*

$$z = e^{x - \frac{1}{2}(y+x)} \left[\sin \frac{1}{2}(y+x) + \cos \frac{1}{2}(y+x) \right], x, y \in [0, 2\pi];$$

- (2) *an affine factorable surface of type 1 with $K = -H = -1$, which is the graph*

$$z = x(y+x), x, y \in [-\pi, \pi];$$

- (3) *a flat affine factorable surface of type 2, which is the graph*

$$x = \cos(y+2z), y, z \in [0, \pi];$$

- (4) *an affine factorable surface of type 2 with $H = 1$, which is the graph*

$$x = \sqrt{y+2z}, y, z \in [0, 10].$$

We can draw these surfaces as in Fig. 1, ..., Fig. 4, respectively.

6. CONCLUSION

In the present paper, affine factorable surfaces with constant Gaussian and mean curvature were classified. Without imposing some extra conditions, finding affine factorable surfaces of type 2 with $H = \text{const} \neq 0$ is still an open problem. When f_1 or f_2 is a linear function, and both of them are polynomials, the problem was partially solved in this paper.

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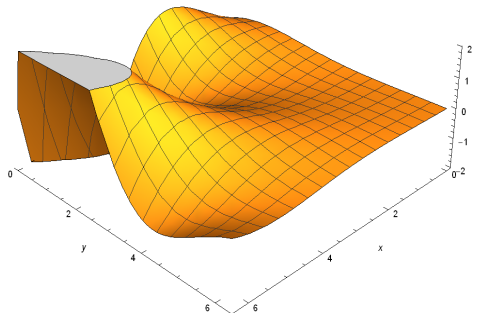


Figure 1. A minimal affine factorable surface of type 1.

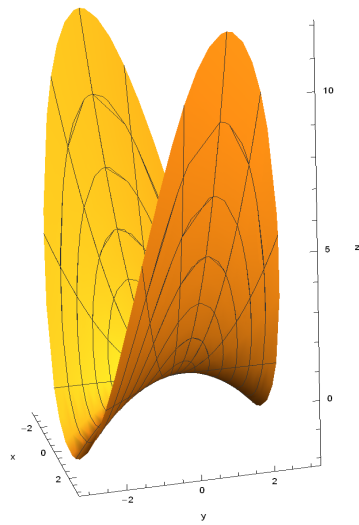


Figure 2. An affine factorable surface of type 1 with $K = -H = -1$.

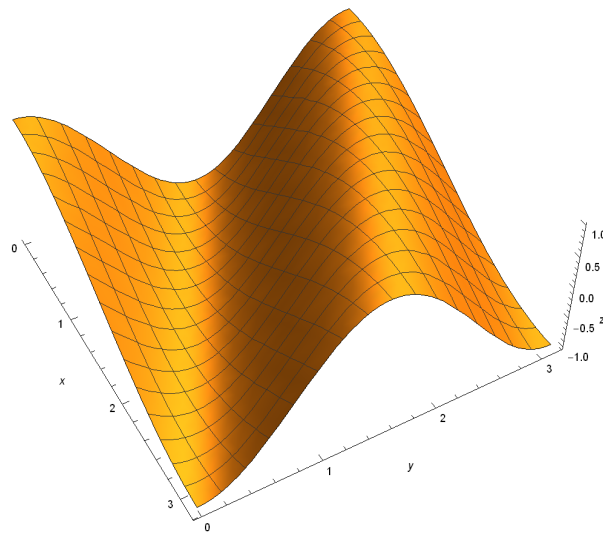


Figure 3. A flat affine factorable surface of type 2.

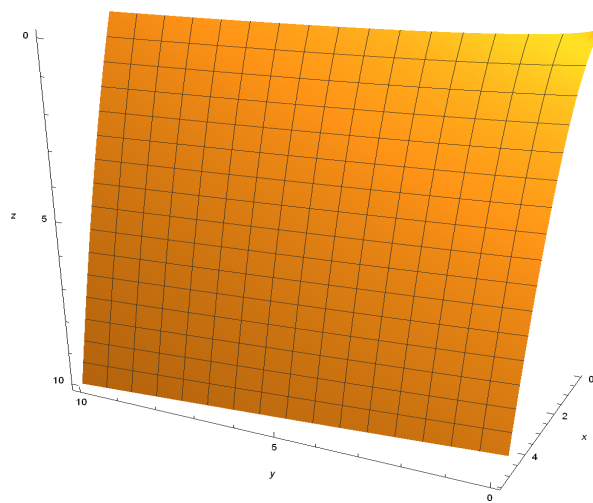
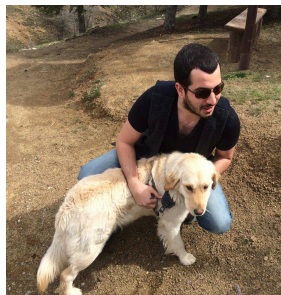


Figure 4. An affine factorable surface of type 2 with $H = 1$.

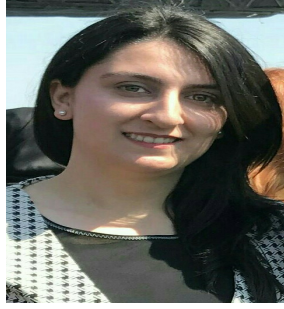
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